

# Using decision procedures for rich data structures for the verification of real-time systems

Viorica Sofronie-Stokkermans

Joint work with Johannes Faber, Carsten Ihlemann, Swen Jacobs  
and with Werner Damm, Matthias Horbach

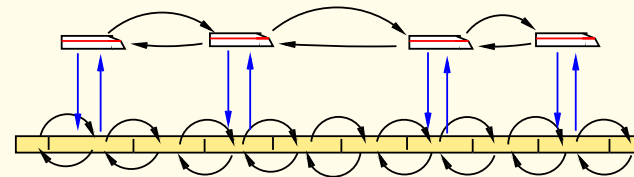
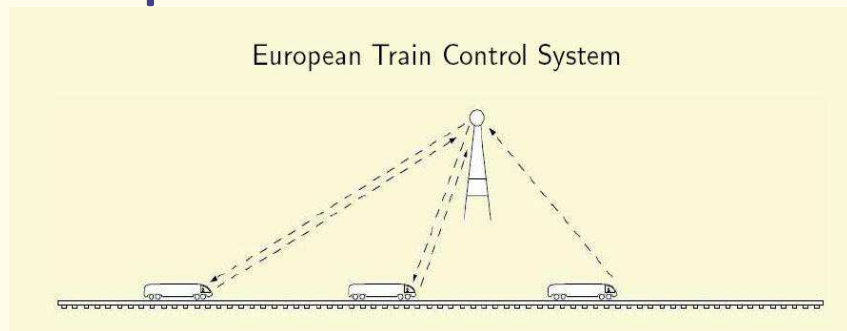
AVACS Concluding Colloquium, Oldenburg, 29 September 2015.

# Problem statement

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We consider **parametric real time** (infinite state) systems  
– parametric data, parametric change, parametric topology of the system

## Examples:

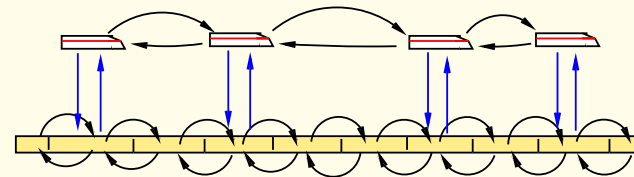
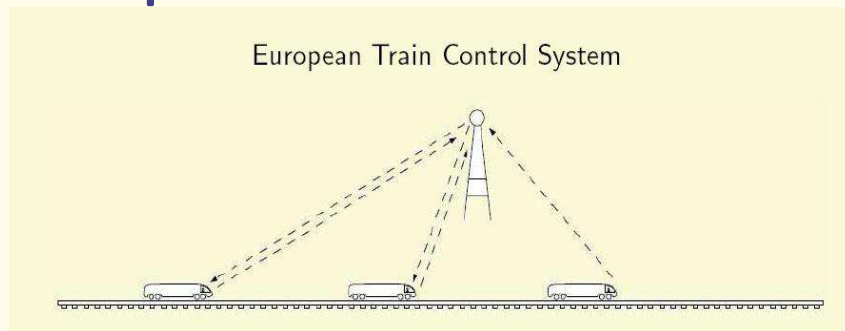


$n$  (number of trains);  $l_{\text{alarm}} > 0$ ;  $0 < v_{\text{min}} < v_{\text{max}}$ ; ...

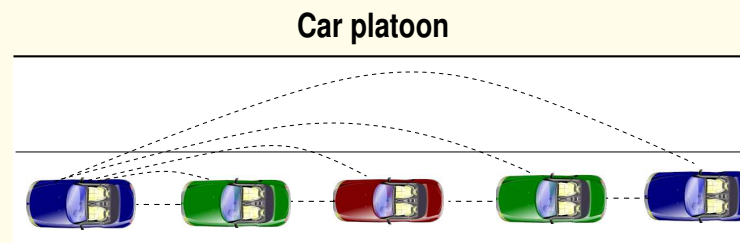
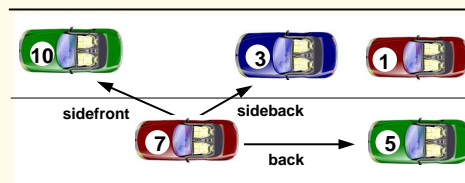
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## Examples:



$n$  (number of trains);  $l_{\text{alarm}} > 0$ ;  $0 < v_{\text{min}} < v_{\text{max}}$ ; ...



# Main results

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## Our work in AVACS (R1)

- **Specification of systems with a complex topology**  
data structures (arrays, pointer structures)
- **Deductive verification:** Invariant checking, BMC, Constraints on parameters  
(using decision procedures for rich data structures, quantifier elimination)  
[Jacobs,VS: PDPAR'06, ENTCS'07], [Faber,Jacobs,VS: IFM'07],  
[Faber,Ihlemann,Jacobs,VS: IFM'10], [VS: IJCAR'10], [VS: CADE'13]

### ⇒ **Efficient decision procedures for data structures**

- local theory extensions [VS: CADE'05, FroCoS'07]
- ordered structures [Ihlemann,VS: ISMVL'07]
- theories of arrays & pointers [Ihlemann,Jacobs,VS: TACAS'08]
- theories from mathematical analysis [VS: KI'08]
- combinations of local theory extensions [Ihlemann,VS: IJCAR'10], [VS: PL'13]

### ⇒ **Interpolation in local theory extensions** ⇒ **CEGAR**

[VS: IJCAR'06, LMCS'08], [Rybalchenko,VS: VMCAI'07, JSC'10], [VS: PL'13]

# State of the art/Main results

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**We consider parametric real time and hybrid (infinite state) systems**

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**Previous work** often only few aspects of parametricity studied together  
approximations/abstraction

Before **[Jacobs,VS'06, '07], [Faber, Jacobs, VS'07], [Faber, Ihlemann, Jacobs, VS'10]:**

- only parametricity in the data domain: [Platzer, Quesel'09]
- parametric number of components:  
[Abdulla et al.'98] timed automata; [Arons et al.'01] finite-state systems

Before **[VS: CADE'13], [Damm,Horbach,VS: FroCoS'15]:**

- modularity and small model property results for restricted classes of systems  
[Kaiser, Kroening et al.'10], [Johnson,Mitra'12], [Abdulla et al'13]

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**Our main goal:** Reduce complexity by exploiting modularity at various levels:  
specification / verification / structurally

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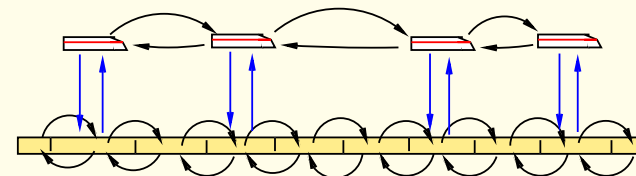
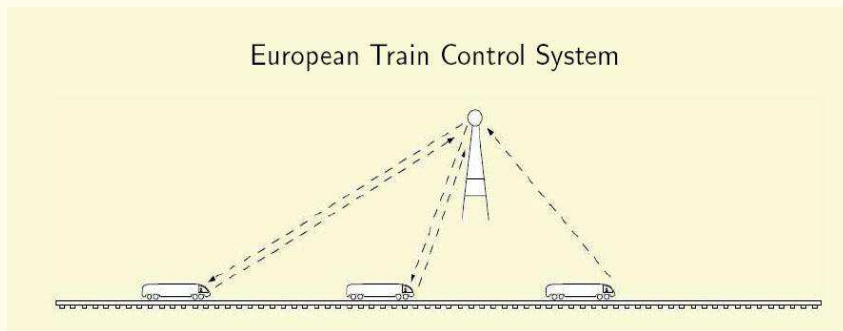
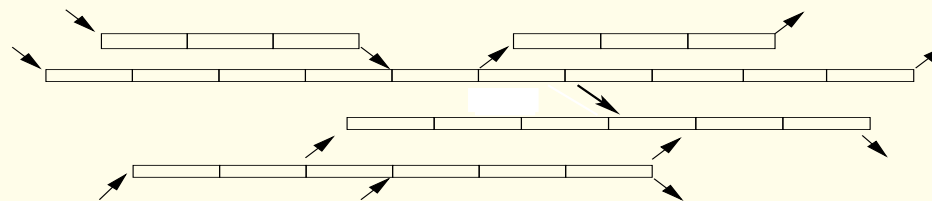
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# Example 1: Verification of systems of trains

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[Faber,Ihlemann,Jacobs,VS 2010]

J. Faber, C. Ihlemann, S. Jacobs, V. Sofronie-Stokkermans:  
*Automatic Verification of Parametric Specifications with Complex Topologies*. Proc. IFM 2010, LNCS 6396, 2010, pp 152-167





# Main goal: exploit modularity at various levels

---

## 1. Specification

- Use the modular language COD, which allows us to separately specify
  - processes (as Communicating Sequential Processes, CSP),
  - data (using Object-Z, OZ), and
  - time (using the Duration Calculus, DC).

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## 2. Verification

- **Verification tasks: invariant checking.**
  - ↳ **Problem:** reasoning in complex data structures
  - ↳ **Solution:** hierarchical and modular reasoning
- **Use of COD allows us to decouple:**
  - ↳ Verification tasks concerning data (OZ)
  - ↳ Verification tasks concerning durations (DC)

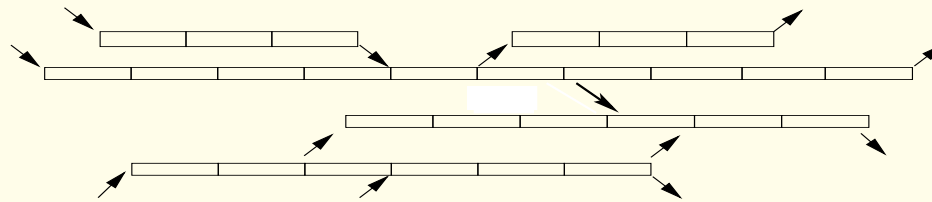
Allows us to impose/verify conditions on the single components which guarantee safety of the overall system.

# Main goal: exploit modularity at various levels

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## 3. Structurally

- Running example: Complex track topologies

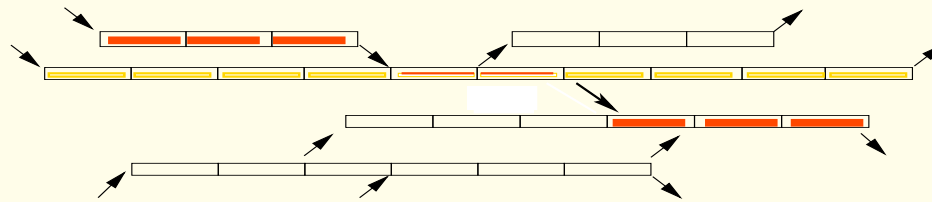


# Main goal: exploit modularity at various levels

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## 3. Structurally

- Running example: Complex track topologies



↳ One line track: Verification

↳ Complex track topology:

- decomposition into family of linear tracks
- prove that safety of whole system follows from safety for the controller of a linear track.

# Overview

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- Modular Specifications: COD
- Modular Verification
- Modularity at structural level
- Implementation; experimental results
- Conclusions

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# Modular Specifications: CSP-OZ-DC (COD)

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COD [Hoenicke,Olderog'02] allows us to specify in a modular way:

- the control flow of a system  
using Communicating Sequential Processes (CSP)
- the state space and its change  
using Object-Z (OZ)
- (dense) real-time constraints over durations of events  
using the Duration Calculus (DC)

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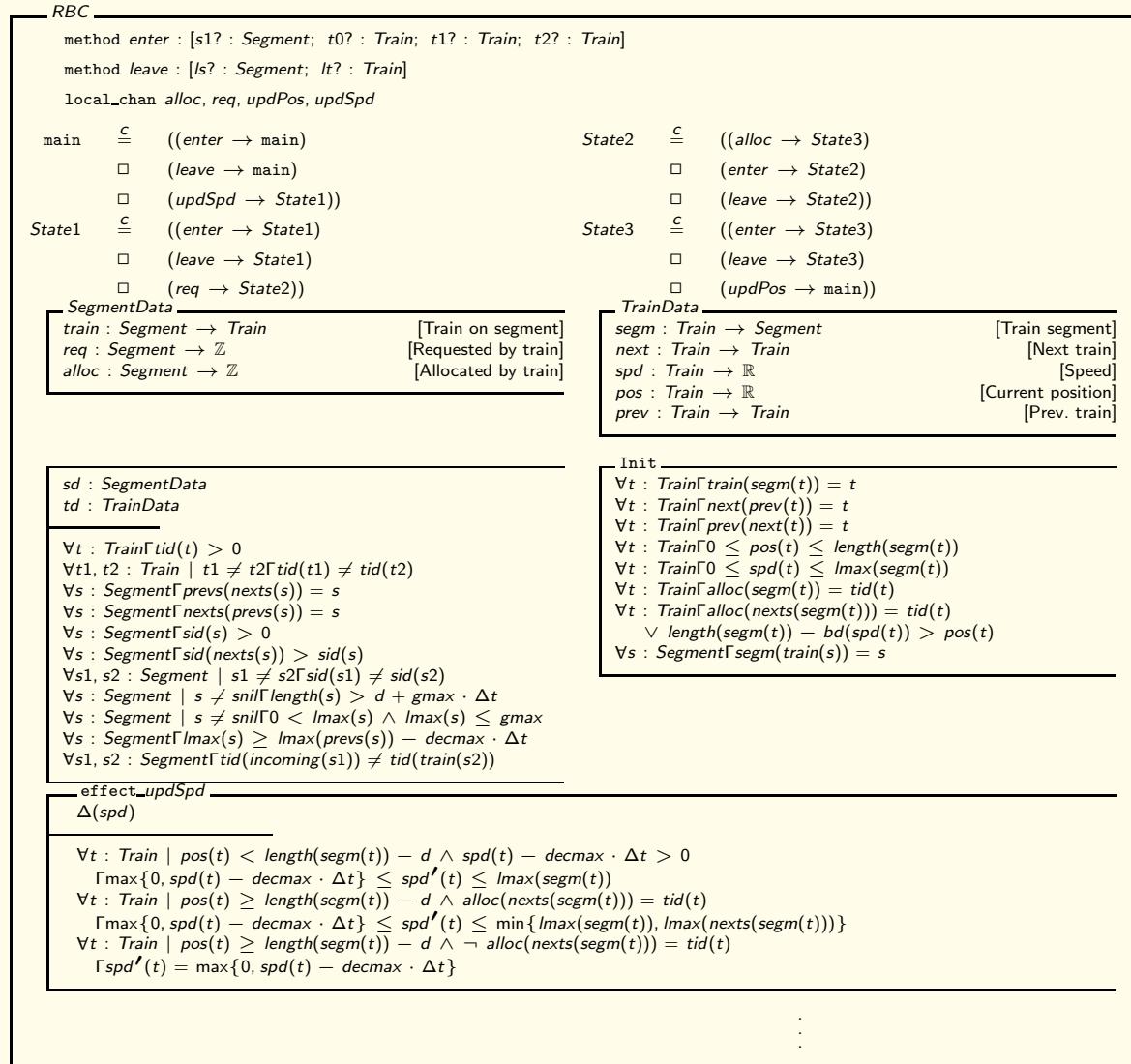
- **the control flow of a system**  
using Communicating Sequential Processes (CSP)
- **the state space and its change**  
using Object-Z (OZ)
- **(dense) real-time constraints over durations of events**  
using the Duration Calculus (DC)

## Benefits:

- **Compositionality:** it suffices to prove safety properties for the separate components to prove safety of the entire system
- **high-level tool support** given by Syspect (easy-to-use front-end to formal real-time specifications, with a graphical user interface).



# Example: Controller for line track (RBC)



CSP

OZ

Interface

CSP part

Data classes

State and Init schema

Update rules

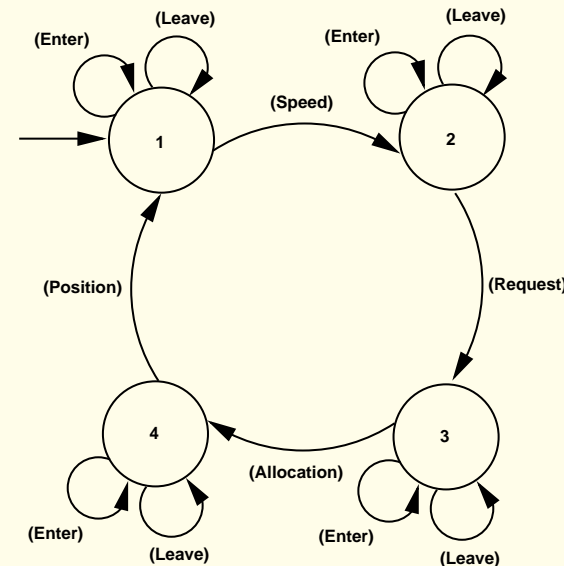
# Example: Controller for line track (RBC)

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**CSP part:** specifies the processes and their interdependency.

The RBC system passes repeatedly through four phases, modeled by events:

- **updSpd** (speed update)
- **req** (request update)
- **alloc** (allocation update)
- **updPos** (position update)



Between these events, trains may leave or enter the track (at specific segments), modeled by the events **leave** and **enter**.

# Example: Controller for line track (RBC)

---

**CSP part:** specifies the processes and their interdependency.

The RBC system passes repeatedly through four phases, modeled by events with corresponding COD schemata:

*CSP:* \_\_\_\_\_

method *enter* : [s1? : Segment; t0? : Train; t1? : Train; t2? : Train]

method *leave* : [ls? : Segment; lt? : Train]

local\_chan *alloc*, *req*, *updPos*, *updSpd*

main<sup>c</sup>((*updSpd*→State1)   State1<sup>c</sup>((*req*→State2)   State2<sup>c</sup>((*alloc*→State3)   State3<sup>c</sup>((*updPos*→main)

□(*leave*→main)                      □(*leave*→State1)                      □(*leave*→State2)                      □(*leave*→State3)

□(*enter*→main))                      □(*enter*→State1))                      □(*enter*→State2))                      □(*enter*→State3))

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# Example: Controller for line track (RBC)

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**OZ part.** Consists of data classes, axioms, the `Init` schema, update rules.

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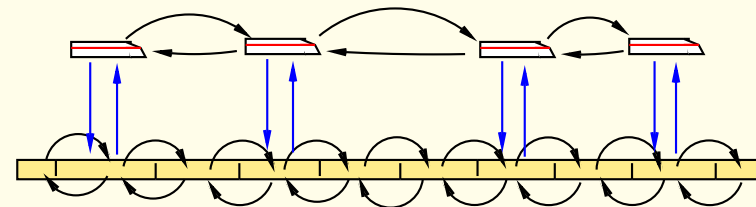
**OZ part.** Consists of data classes, axioms, the `Init` schema, update rules.

- 1. **Data classes** declare function symbols that can change their values during runs of the system

Data structures:

- 2-sorted pointers

train: trains  
 segm: segments



<i>SegmentData</i>	
$train : Segment \rightarrow Train$	[Train on segment]
$req : Segment \rightarrow \mathbb{Z}$	[Requested by train]
$alloc : Segment \rightarrow \mathbb{Z}$	[Allocated by train]

<i>TrainData</i>	
$segm : Train \rightarrow Segment$	[Train segment]
$next : Train \rightarrow Train$	[Next train]
$spd : Train \rightarrow \mathbb{R}$	[Speed]
$pos : Train \rightarrow \mathbb{R}$	[Current position]
$prev : Train \rightarrow Train$	[Prev. train]

# Example: Controller for line track (RBC)

---

**OZ part.** Consists of data classes, axioms, the `Init` schema, update rules.

- **1. Data classes** declare function symbols that can change their values during runs of the system, and are used in the OZ part of the specification.
- **2. Axioms:** define properties of the data structures and system parameters which do not change
  - $gmax : \mathbb{R}$  (the global maximum speed),
  - $decmax : \mathbb{R}$  (the maximum deceleration of trains),
  - $d : \mathbb{R}$  (a safety distance between trains),
  - Properties of the data structures used to model trains/segments

# Example: Controller for line track (RBC)

---

**OZ part.** Consists of data classes, axioms, the `Init` schema, update rules.

- **3. Init schema.** describes the initial state of the system.
  - trains - doubly-linked list; placed correctly on the track segments
  - all trains respect their speed limits.
- **4. Update rules** specify updates of the state space executed when the corresponding event from the CSP part is performed.

## Example: Speed update

effect\_updSpd

$\Delta(\text{spd})$

$\forall t : \text{Train} \mid \text{pos}(t) < \text{length}(\text{segm}(t)) - d \wedge \text{spd}(t) - \text{decmax} \cdot \Delta t > 0$

$\Gamma \max\{0, \text{spd}(t) - \text{decmax} \cdot \Delta t\} \leq \text{spd}'(t) \leq \text{lmax}(\text{segm}(t))$

$\forall t : \text{Train} \mid \text{pos}(t) \geq \text{length}(\text{segm}(t)) - d \wedge \text{alloc}(\text{nexts}(\text{segm}(t))) = \text{tid}(t)$

$\Gamma \max\{0, \text{spd}(t) - \text{decmax} \cdot \Delta t\} \leq \text{spd}'(t) \leq \min\{\text{lmax}(\text{segm}(t)), \text{lmax}(\text{nexts}(\text{segm}(t)))\}$

$\forall t : \text{Train} \mid \text{pos}(t) \geq \text{length}(\text{segm}(t)) - d \wedge \neg \text{alloc}(\text{nexts}(\text{segm}(t))) = \text{tid}(t)$

$\Gamma \text{spd}'(t) = \max\{0, \text{spd}(t) - \text{decmax} \cdot \Delta t\}$

# Timed train controller (Train)

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**Train** consists of three timed components running in parallel.

## 1. Update the train's position.

This component contains DC formulae of the form:

$$\neg(\text{true} ; \Downarrow \text{updPos} ; (\ell < \Delta t) ; \Downarrow \text{updPos} ; \text{true}),$$

$$\neg(\text{true} ; \Downarrow \text{updPos} ; (\ell > c) ; \Downarrow \text{updPos} ; \text{true}),$$

that specify lower/upper time bounds on *updPos* events.

## 2. Check if train is beyond the safety distance to the end of the segment.

If so, it starts braking within a short reaction time.

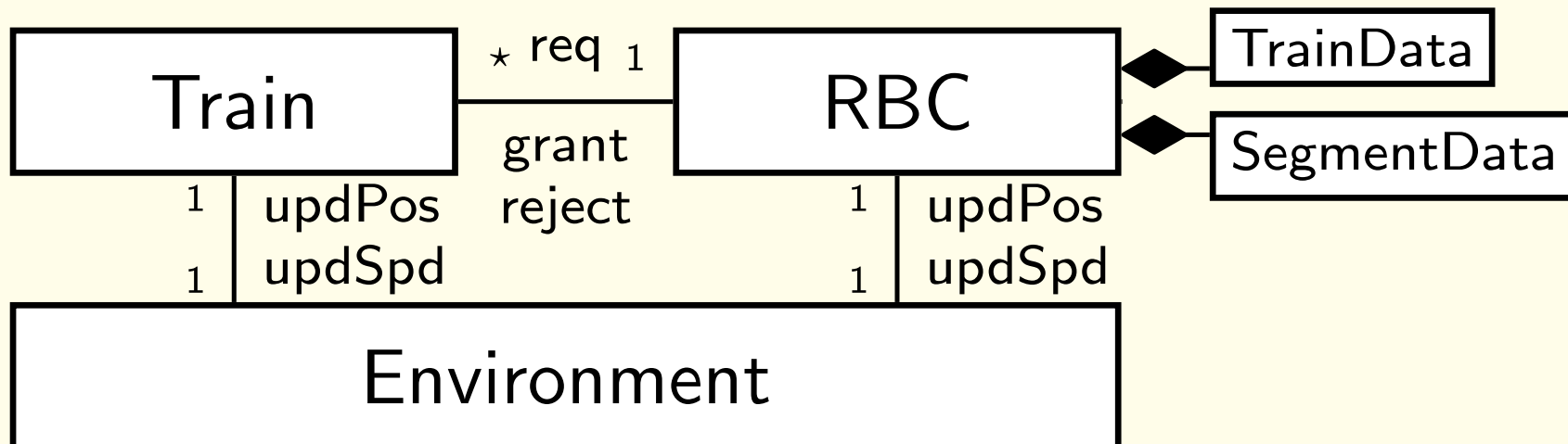
## 3. Request extension of the movement authority from the RBC

(may be granted or rejected).



# Interaction RBC/Train

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# Overview

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- Modular Specifications: CSP-OZ-DC
- **Modular Verification**
- Modularity at structural level
- Implementation; experimental results
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# Modular Verification

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$COD$ specification	$\mapsto \Sigma_S$ signature of $S$ ; $\mathcal{T}_S$ theory of $S$ ; $T_S$ transition constraint system $\text{Init}(\bar{x}); \text{Update}(\bar{x}, \bar{x}')$
------------------------	----------------------------------------------------------------------------------------------------------------------------------------------------------------------

**Given:**  $\text{Safe}(x)$  formula (e.g. safety property)

- **Invariant checking**

(1)  $\models_{\mathcal{T}_S} \text{Init}(\bar{x}) \rightarrow \text{Safe}(\bar{x})$  (Safe holds in the initial state)

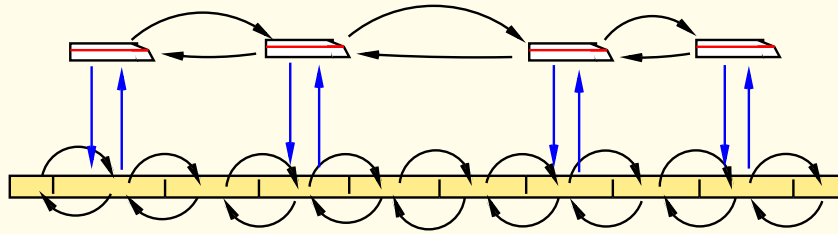
(2)  $\models_{\mathcal{T}_S} \text{Safe}(\bar{x}) \wedge \text{Update}(\bar{x}, \bar{x}') \rightarrow \text{Safe}(\bar{x}')$  (Safe holds before  $\Rightarrow$  holds after update)

- **Bounded model checking (BMC):**

Check whether, for a fixed  $k$ , unsafe states are reachable in at most  $k$  steps, i.e. for all  $0 \leq j \leq k$ :

$$\text{Init}(x_0) \wedge \text{Update}_1(x_0, x_1) \wedge \cdots \wedge \text{Update}_n(x_{j-1}, x_j) \wedge \neg \text{Safe}(x_j) \models_{\mathcal{T}_S} \perp$$

# Trains on a linear track



## Example 1: Speed Update

$$\text{pos}(t) < \text{length}(\text{segm}(t)) - d \rightarrow 0 \leq \text{spd}'(t) \leq \text{lmax}(\text{segm}(t))$$

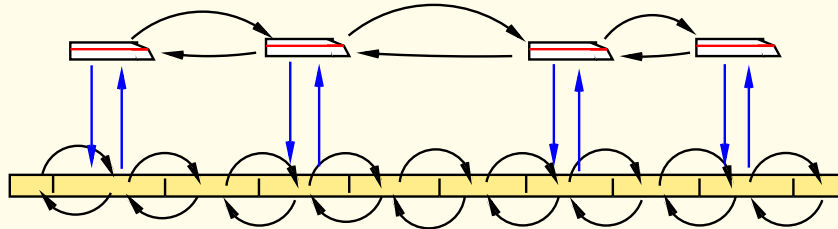
$$\text{pos}(t) \geq \text{length}(\text{segm}(t)) - d \wedge \text{alloc}(\text{next}_s(\text{segm}(t))) = \text{tid}(t)$$

$$\rightarrow 0 \leq \text{spd}'(t) \leq \min(\text{lmax}(\text{segm}(t)), \text{lmax}(\text{next}_s(\text{segm}(t))))$$

$$\text{pos}(t) \geq \text{length}(\text{segm}(t)) - d \wedge \text{alloc}(\text{next}_s(\text{segm}(t))) \neq \text{tid}(t)$$

$$\rightarrow \text{spd}'(t) = \max(\text{spd}(t) - \text{decmax}, 0)$$

# Trains on a linear track



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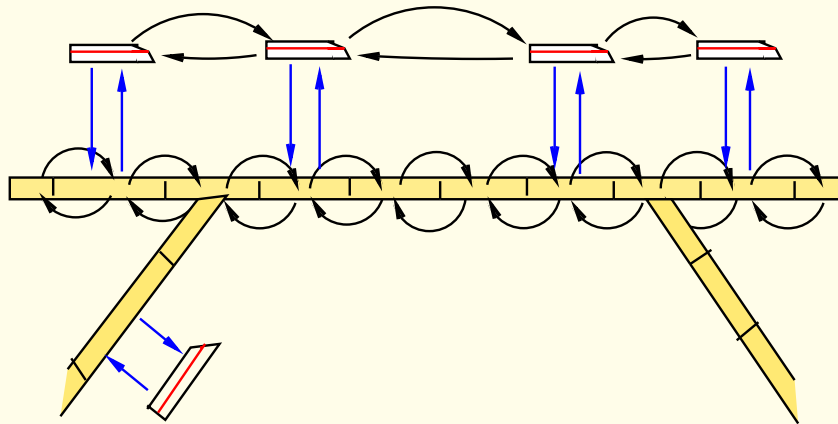
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## Proof task:

$$\text{Safe}(\text{pos}, \text{next}, \text{prev}, \text{spd}) \wedge \text{SpeedUpdate}(\text{pos}, \text{next}, \text{prev}, \text{spd}, \text{spd}') \rightarrow \text{Safe}(\text{pos}', \text{next}, \text{prev}, \text{spd}')$$

# Incoming and outgoing trains



**Example 2:** Enter Update (also updates for  $\text{segm}'$ ,  $\text{spd}'$ ,  $\text{pos}'$ ,  $\text{train}'$ )

**Assume:**  $s_1 \neq \text{null}_s$ ,  $t_1 \neq \text{null}_t$ ,  $\text{train}(s) \neq t_1$ ,  $\text{alloc}(s_1) = \text{idt}(t_1)$

$t \neq t_1$ ,  $\text{ids}(\text{segm}(t)) < \text{ids}(s_1)$ ,  $\text{next}_t(t) = \text{null}_t$ ,  $\text{alloc}(s_1) = \text{tid}(t_1) \rightarrow \text{next}'(t) = t_1 \wedge \text{next}'(t_1) = \text{null}_t$

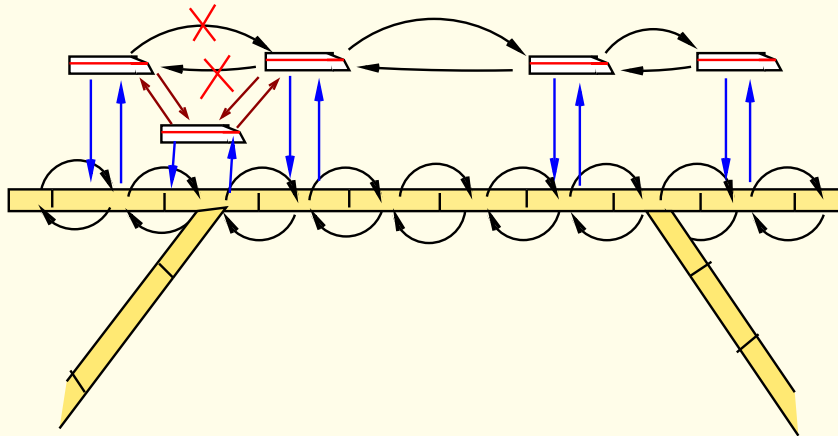
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$\rightarrow \text{next}'(t) = \text{next}_t(t)$

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$t \neq t_1$ ,  $\text{ids}(\text{segm}(t)) \geq \text{ids}(s_1) \rightarrow \text{next}'(t) = \text{next}_t(t)$

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# Safety property

---

**Safety property we want to prove:**

no two different trains ever occupy the same track segment:

$$(\text{Safe}) \quad \forall t_1, t_2 \quad \text{segm}(t_1) = \text{segm}(t_2) \rightarrow t_1 = t_2$$

In order to prove that (Safe) is an invariant of the system, we need to find a suitable invariant  $(\text{Inv}_i)$  for every control location  $i$  of the TCS, and prove:

- (1)  $(\text{Inv}_i) \models (\text{Safe})$  for all locations  $i$  and
- (2) the invariants are preserved under all transitions of the system,  
 $(\text{Inv}_i) \wedge (\text{Update}) \models (\text{Inv}'_j)$   
whenever (Update) is a transition from location  $i$  to  $j$ .



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Here:  $\text{Inv}_i$  generated by hand (use poss. of generating counterexamples with H-PILoT)

# Verification problems

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## Ground satisfiability problems for pointer data structures

**Problem:** Axioms, Invariants: are universally quantified

**Our solution:** Hierarchical reasoning in local theory extensions

# Modularity in automated reasoning

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## Examples of theories we need to handle

- **Invariants**

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# Modularity in automated reasoning

---

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- **Underlying theory:** theory of many-sorted pointers, real numbers, ...

# Local theory extensions

---

**Our approach:** Find complete instantiations of univ. quantified variables

[VS'05]  $\Sigma_0 \subseteq \Sigma_0 \cup \Sigma$ ;  $\mathcal{K}$  clauses axiomatizing functions in  $\Sigma$ ;  $\mathcal{T}_0$   $\Sigma_0$ -theory;

(Loc)  $\mathcal{T}_0 \subseteq \mathcal{T}_1 = \mathcal{T}_0 \cup \mathcal{K}$  is **local**, if for any (finite) set of ground clauses  $G$ ,

$$\mathcal{T}_0 \cup \mathcal{K} \cup G \models \perp \quad \text{iff} \quad \mathcal{T}_0 \cup \mathcal{K}[G] \cup G \models \perp$$

$\Leftarrow$  **always**  
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Various notions of locality, depending of the instances to be considered  
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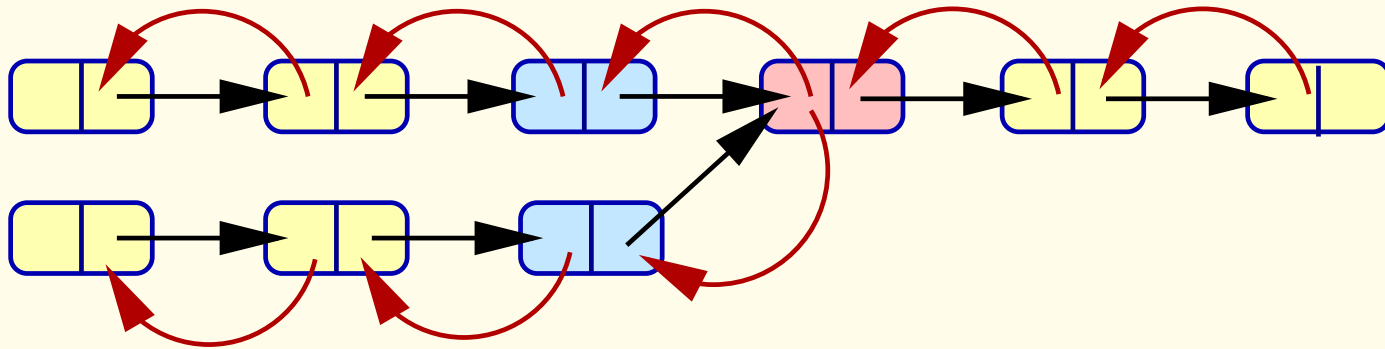
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**Main advantages:**

- $\mapsto$  hierarchical reduction to proof tasks in  $\mathcal{T}_0$
- $\mapsto$  decision procedure for satisfiability of ground clauses
- $\mapsto$  implementation H-PILoT [Ihlemann, VS'2009]

# Example: doubly-linked lists

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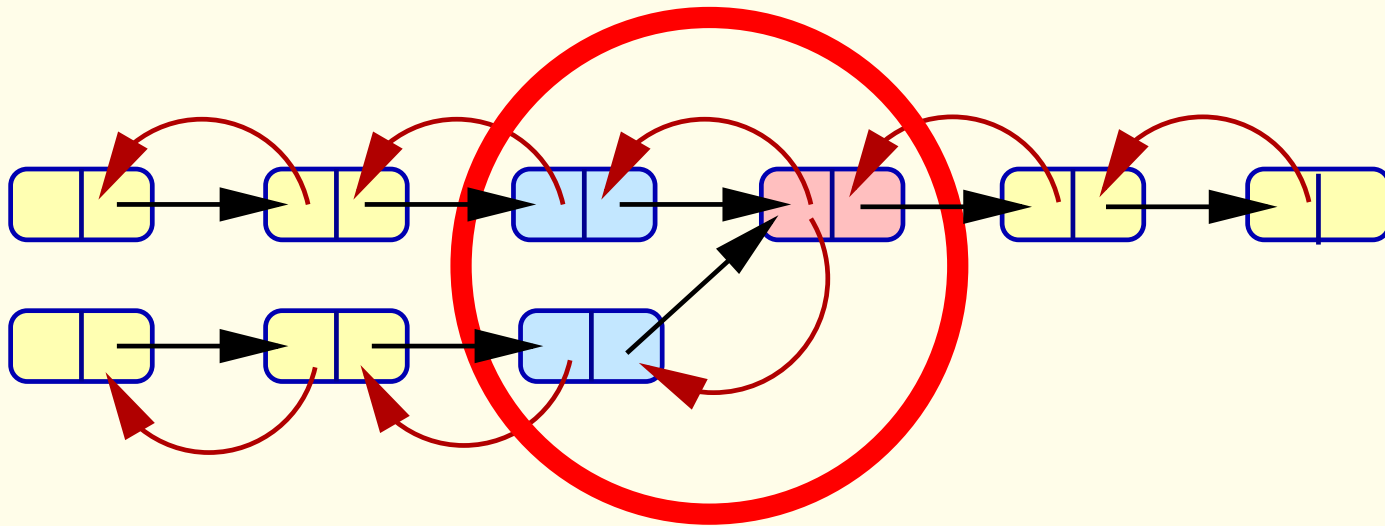
$\forall p (p \neq \text{null} \wedge p.\text{next} \neq \text{null} \rightarrow p.\text{next}.\text{prev} = p)$

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# Example: doubly-linked lists



$$\begin{aligned}
 & (c \neq \text{null} \wedge c.\text{next} \neq \text{null} \rightarrow c.\text{next}.\text{prev} = c) \quad (c.\text{next} \neq \text{null} \wedge c.\text{next}.\text{next} \neq \text{null} \rightarrow c.\text{next}.\text{next}.\text{prev} = c.\text{next}) \\
 & (d \neq \text{null} \wedge d.\text{next} \neq \text{null} \rightarrow d.\text{next}.\text{prev} = d) \quad (d.\text{next} \neq \text{null} \wedge d.\text{next}.\text{next} \neq \text{null} \rightarrow d.\text{next}.\text{next}.\text{prev} = d.\text{next}) \\
 & \wedge c \neq \text{null} \wedge c.\text{next} \neq \text{null} \wedge d \neq \text{null} \wedge d.\text{next} \neq \text{null} \wedge c.\text{next} = d.\text{next} \wedge c \neq d \quad \models \perp
 \end{aligned}$$

Similar results also if numerical info is stored in list

# The good news

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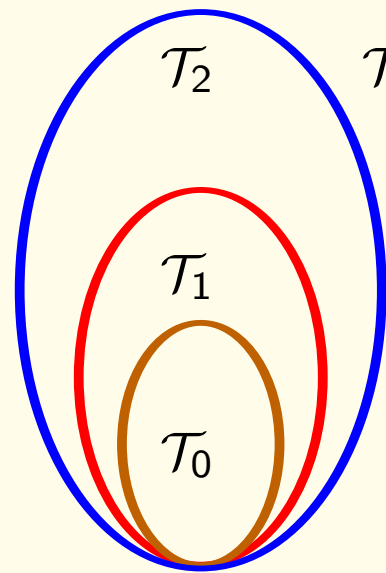
**The following sets of formulae define local theory extensions:**

- Updates (according to a partition of the state space)
- The invariants we consider
- The axioms for many-sorted pointer structures we consider

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$$\mathcal{T}_2 = \mathcal{T}_1 \cup \text{Update}(\text{next}, \dots, \text{next}', \dots)$$

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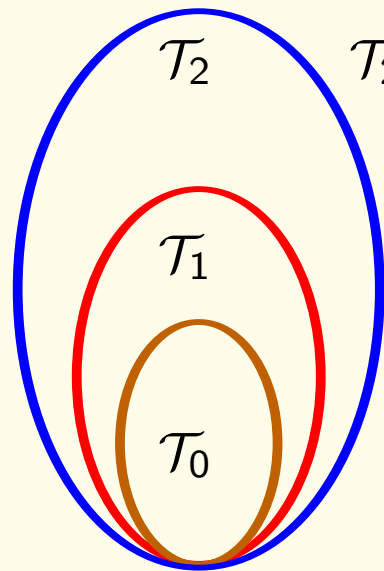
To show:

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$\Downarrow$

$$\mathcal{T}_1 \cup \underbrace{\text{Update}[G] \wedge G}_{G'} \models \perp$$

$\Downarrow$

$$\mathcal{T}_0 \cup \underbrace{\text{Inv}[G'] \wedge G'}_{G''} \models \perp$$

$\Downarrow$

$$UIF \cup \mathbb{R} \cup (\text{PointerAx}[G''] \cup G'')_0 \models \perp$$

**H-PILoT: verification/ models/QE  $\mapsto$  constraints on parameters**

# Overview

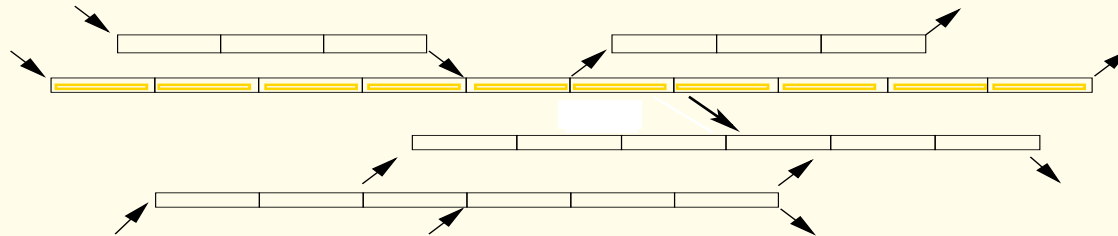
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- Modular Specifications: CSP-OZ-DC
- Modular Verification
- Modularity at structural level
- Implementation; experimental results
- Conclusions

# Modularity at structural level

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- **Complex track topologies**



**Assumptions:**

- No cycles
- in-degree (out-degree) of associated graph at most 2.

**Approach:**

- Decompose the system in trajectories (linear rail tracks; may overlap)
- **Task 1:** - Prove safety for trajectories with incoming/outgoing trains
  - Conclude that for control rules in which trains have sufficient freedom (and if trains are assigned unique priorities) safety of all trajectories implies safety of the whole system
- **Task 2:** - General constraints on parameters which guarantee safety

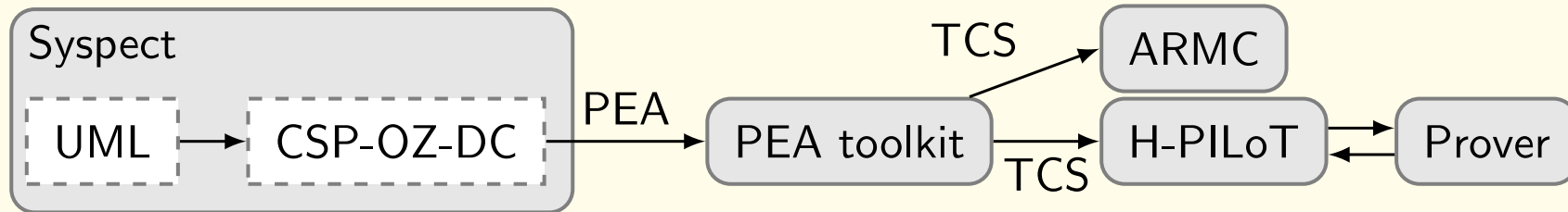
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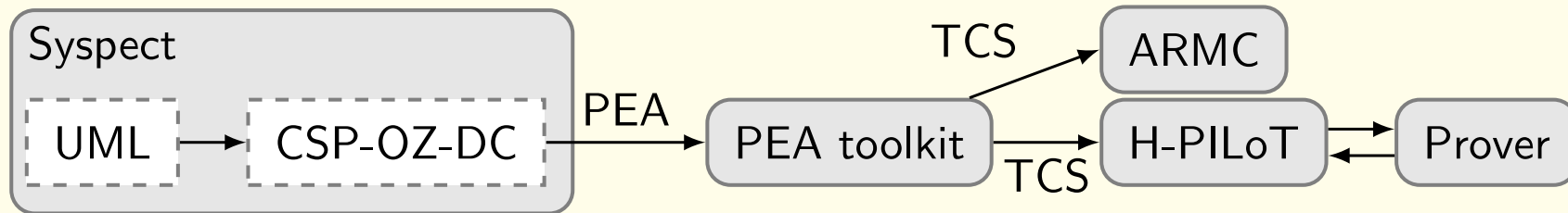
# Tool Chain

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# Experimental results



Verification of <b>RBC</b>	(Syspect + PEA)	(H-PILoT + Yices)	(Yices alone)
(Inv) <i>unsat</i>			
Part 1	11s	72s	52s
Part 2	11s	124s	131s
speed update	11s	8s	45s
(Safe) <i>sat</i>	9s	8s (+ model)	time out
Consistency	13s	3s	(Unknown) 2s

(obtained on: AMD64, dual-core 2 GHz, 4 GB RAM)

**Verification of Train:** 8 parallel components, > 3300 transitions, 28 real-valued variables, clocks (infinite state system).

For this reason, the verification took 26 hours

# Summary

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**Main approach:** Exploit modularity in specification/verification/structure

**Contributions:** [Faber, Ihlemann, Jacobs, VS, 2010]

- We augmented existing techniques for the verification of real-time systems to cope with rich data structures like pointer structures (and identified a decidable fragment of this theory).
- We established various modularity results.
- We implemented our approach in a new tool chain taking high-level specifications in terms of COD as input.

# Beyond Yes/No

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## We consider parametric systems

- parametric data, parametric change, parametric environment (functions)
- parametric topology of the system (data structures)

**Given:** Safety property (formula  $\Phi$ )

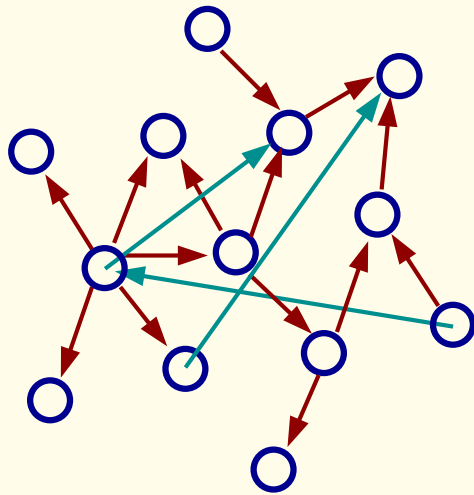
- Task:**
1. Check if constraints on parameters guarantee safety
  2. Infer relationships between parameters, resp. properties of the functions modeling the changes which ensure that the safety property  $\Phi$  is an invariant
  3. Find models (situations when safety property does not hold)

[VS; IJCAR'10) and [VS: CADE'13)

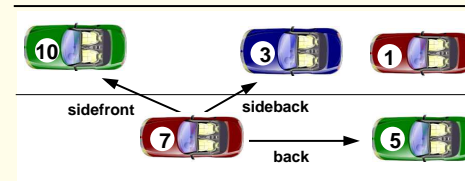
- Use the “good” properties of theories occurring in verification
- Exploit possibilities for
  - ‘ hierarchical reasoning (1), quantifier elimination (2), model building (3)

# Further extensions

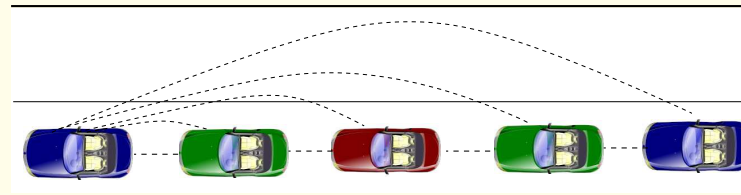
[Damm, Horbach, VS: FroCoS'15] Modularity results and small model property results for (decoupled) families of linear hybrid automata



Examples:



Car platoon



Sensors + Communication Channels

**Safety properties:**  $\forall i_1, \dots, i_k \phi_{\text{safe}}(i_1, \dots, i_l)$

Collision free:  $\forall i, j (\text{lane}(i) = \text{lane}(j) \wedge \text{pos}(i) \geq \text{pos}(j) \wedge i \neq j \rightarrow \text{pos}(i) - \text{pos}(j) > d)$

# Conclusions

---

**Main approach:** Exploit modularity in specification/verification/structure

**Application areas:**

- Verification of real time systems [Faber,Ihlemann,Jacobs,VS'10]
- Verification of hybrid systems [Damm,Horbach,VS'15]

**Main idea:**

- Use locality of the decidable fragment of the theory of pointers and of updates to simplify verification tasks.
- By-product: Small model property, complexity estimation
- Parametric verification and model building possible

**Implementations**

- Chain tool for real time systems
- Verification tool for families of LHA

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**Ongoing and future work:** More complex combinations/properties

- Time-bounded reachability conditions (e.g. overtaking manoeuvres)
- Invariant generation